

## Annexure – I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: **SIL INVESTMENTS LIMITED**

2. Quarter ending: **March 31, 2019**

I. Composition of Board of Directors								
Title (Mr. / Ms)	Name of the Director	PAN <sup>s</sup> & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) &	Date of Appointment in the current term /cessation	Tenure* (to be filled only for Independent Director)	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	C. S. Nopany	ABJPN5385K : 00014587	Non - Executive Chairman	18/06/1993	-	0	Audit Committee: NIL Stakeholder Committee: NIL	Audit Committee: NIL Stakeholder Committee: 2
Mrs.	Shalini Nopany	ADCPN9494Q : 00077299	Executive Director (Managing Director)	25/01/2015	-	0	Audit Committee: NIL Stakeholder Committee: 1	Audit Committee: NIL Stakeholder Committee: NIL
Mr.	Sanjay Goenka	ACZPG6099G : 00420444	Independent Director	23/08/2014	[5 (Five) years w.e.f. 23.08.2014] 4 years 7 months and 8 days	1	Audit Committee: - NIL Stakeholder Committee: NIL	Audit Committee: 1 Stakeholder Committee: NIL

*[Signature]*



Mr.	Subroto Lahiri	ABCP18990R : 01719327	Independent Director	23/08/2014	[5 (Five) years w.e.f. 23.08.2014] 4 years 7 months and 8 days	1	Audit Committee: 1 Stakeholder Committee: 1	Audit Committee: NIL Stakeholder Committee: NIL
Mr.	Brij Mohan Agarwal	ADVPA7705Q : 03101758	Executive Director (designated as Director-in-Charge)	31/03/2019	-	2	Audit Committee: 3 Stakeholder Committee: 1	Audit Committee: NIL Stakeholder Committee: NIL
Mr.	Abhrajit Dutta	ADZPD2232M : 00546556	Independent Director	02/08/2016	[5 (Five) years w.e.f. 02.08.2016] 2 years 7 months and 29 days	1	Audit Committee: 1 Stakeholder Committee: NIL	Audit Committee: NIL Stakeholder Committee: NIL

\$ PAN number of any director would not be displayed on the website of Stock Exchange

& Category of directors means executive/ non-executive/independent/ Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

\*\* No. of directorships only where the Directors acts as "Independent Director"

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/ independent/ Nominee) \$
1. Audit Committee	1. Mr. Sanjay Goenka 2. Mr. Subroto Lahiri 3. Mr. Abhrajit Dutta	Chairman of Committee- Independent Director Independent Director Independent Director
2. Nomination & Remuneration Committee	1. Mr. Sanjay Goenka 2. Mr. Subroto Lahiri 3. Mr. Abhrajit Dutta	Chairman of Committee- Independent Director Independent Director Independent Director

*[Signature]*



3. Risk Management Committee *	1. Mr. Brij Mohan Agarwal 2. Mr. Subroto Lahiri 3. Mr. Vikas Baheti	Chairman of Committee- Executive Director Independent Director CFO
4. Stakeholders Relationship Committee	1. Mr. C. S. Nopany 2. Mr. Subroto Lahiri 3. Mr. Brij Mohan Agarwal	Chairman of Committee- Non Executive Chairman Independent Director Executive Director
\$ Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen * As per Listing Regulations, 2015, Risk Management Committee is not applicable to the Company. However, Risk Management Committee was formed by the Company in compliance with the applicable RBI Guidelines for NBFC.		

III. Meeting of Board of Directors				
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)		
1) 02.11.2019	1) 06.02.2019	1) 02.11.2018 to 06.02.2019 = 96 Days		
IV. Meeting of Committees				
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*	
<u>Audit Committee:</u>				
1) 06.02.2019	Yes	1) 02.11.2018	1) 02.11.2018 to 06.02.2019 = 96 Days	
<u>Nomination &amp; Remuneration Committee:</u>				
1) 06.02.2019	N.A.	Meeting not held in the previous quarter	Not Applicable	



<b><u>Risk Management Committee</u></b>				
1) 14.01.2019	N.A.	1) 28.12.2018	1) 14.01.2019 to 28.12.2018 = 17 Days	
<b><u>Stakeholders Relationship Committee :</u></b>				
1) 25.01.2019	Yes	1) 25.10.2018	1) 25.10.2018 to 25.01.2019 = 92 Days	
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional				

#### **V. Related Party Transactions**

<b>Subject</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

#### **Note**

1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/ N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

#### **VI. Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – Yes
  - The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
    - Audit Committee – Yes
    - Nomination & remuneration committee – Yes
    - Stakeholders relationship committee - Yes
    - Risk management committee (applicable to the top 100 listed entities) – NA#
  - The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015.
  - The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
  - The report submitted in the previous quarter has been placed before Board of Directors.
- Any comments/observations/advice of Board of Directors may be mentioned here: There were no comments received from Board of Directors.



# As per Listing Regulations, 2015, Risk Management Committee is not applicable to the Company. However, Risk Management Committee was formed by the Company in compliance with the applicable RBI Guidelines for NBFC.

**Name : LOKESH GANDHI**



**Designation : COMPANY SECRETARY AND COMPLIANCE OFFICER**

**Date: 12.04.2019**

**Place: Mumbai**




**Note:** Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.



**ANNEXURE II**

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

<b>I. Disclosure on website in terms of Listing Regulations</b>		
<b>Item</b>	<b>Compliance status</b> <small>(Yes/No/NA) refer note</small>	
Details of business	Yes	
Terms and conditions of appointment of independent directors	Yes	
Composition of various committees of board of directors	Yes	
Code of conduct of board of directors and senior management personnel	yes	
Details of establishment of vigil mechanism/ Whistle Blower policy	yes	
Criteria of making payments to non-executive directors	yes	
Policy on dealing with related party transactions	yes	
Policy for determining 'material' subsidiaries	yes	
Details of familiarization programmes imparted to independent directors	Yes	
Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	
email address for grievance redressal and other relevant details	Yes	
Financial results	Yes	
Shareholding pattern	Yes	
Details of agreements entered into with the media companies and/or their associates	NA	
New name and the old name of the listed entity	NA	
<b>II Annual Affirmations</b>		
<b>Particulars</b>	<b>Regulation Number</b>	<b>Compliance status</b> <small>(Yes/No/NA) refer note below</small>
Independent director(s) have been appointed in terms of specified criteria of 'independence'	16(1)(b) & 25(6)	Yes
Board composition	17(1)	Yes
Meeting of Board of directors	17(2)	Yes
Review of Compliance Reports	17(3)	Yes
Plans for orderly succession for appointments	17(4)	Yes
Code of Conduct	17(5)	Yes
Fees/compensation	17(6)	Yes
Minimum Information	17(7)	Yes
Compliance Certificate	17(8)	Yes
Risk Assessment & Management	17(9)	Yes
Performance Evaluation of Independent Directors	17(10)	yes
Composition of Audit Committee	18(1)	Yes
Meeting of Audit Committee	18(2)	Yes
Composition of nomination & remuneration committee	19(1) & (2)	Yes
Composition of Stakeholder Relationship Committee	20(1) & (2)	Yes
Composition and role of risk management committee	21(1),(2),(3),(4)	N.A.
Vigil Mechanism	22	Yes
Policy for related party Transaction	23(1),(5),(6),(7) & (8)	Yes
Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes
Approval for material related party transactions	23(4)	Yes
Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes
Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	Yes
Maximum Directorship & Tenure	25(1) & (2)	Yes
Meeting of independent directors	25(3) & (4)	Yes
Familiarization of independent directors	25(7)	Yes
Memberships in Committees	26(1)	Yes
Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
Disclosure of Shareholding by Non- Executive Directors	26(4)	Yes
Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes
<b>Note</b> 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/ N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here. 3 If the Listed Entity would like to provide any other information the same may be indicated here.		
<b>III Affirmations:</b> The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - Yes		
<b>For SIL Investments Limited</b>  <b>Lokesh Gandhi</b> <b>Company Secretary &amp; Compliance Officer</b> <b>Date: 12.04.2019</b> <b>Place: Mumbai</b>		

