

# Annexure – I

**Format to be submitted by listed entity on quarterly basis**

**1. Name of Listed Entity: SIL INVESTMENTS LIMITED**

**2. Quarter ending: September 30, 2019**

## I. Composition of Board of Directors

Title (Mr / Ms)	Name of the Director	PAN & DIN	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Initial Date of Appointment	Date of Re-appointment	Date of Cessation	Tenure	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A (A)]	No. of Independent Directorship in listed entities including this listed entity [in reference to Regulation 17A (A)]	No. of memberships in Audit / Stakeholder Committee(s) including this entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit / Stakeholder Committee held in listed entities including this entity (Refer Regulation 26(1) of Listing Regulations)
Mr	C. S. Nopany	ABJPN5385K : 00014587	Non - Executive Chairman	18-06-1993	22-08-2019			19-09-1965	8	0	0	2
Mrs	Shalini Nopany	ADCPN9494Q : 00077299	Executive Director (Managing Director)	26-10-2009	25-01-2015			13-03-1968	4	0	1	0
Mr	Sanjay Goenka	ACZPG6099G : 00420444	Independent Director	24-07-2006	23-08-2019		61	23-07-1965	1	1	0	1



Mr	Brij Mohan Aganwal	ADV/PA77/05Q : 03101758	Executive Director (designated as Director-in-Charge)	31-03-2016	31-03-2019				15-08-1970	3	2	4	0
Mr	Abhrajit Dutta	ADZPD2232M : 00546556	Independent Director	02-08-2016			37		01-02-1969	1	1	1	0
Mr	Shrikant Mantri	AELPM0090H : 01240147	Independent Director	10-05-2019			4		13-10-1952	2	2	3	0
Mr	Subroto Lahiri	ABCPL8990R : 01719327	Independent Director	31-10-2007	23-08-2014	23-08-2019	60		05-07-1952	1	1	2	0

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## II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1. Sanjay Goenka 2. Abhrajit Dutta 3. Shrikant Mantri 4. Subroto Lahiri	Chairman of Committee - Independent Director  Independent Director Independent Director Independent Director	31-10-2007 02-08-2016 10-05-2019 12-05-2008	   23-08-2019
2. Nomination & Remuneration Committee	Yes	1. Sanjay Goenka 2. Abhrajit Dutta 3. Shrikant Mantri 4. Subroto Lahiri	Chairman of Committee - Independent Director  Independent Director Independent Director Independent Director	12-12-2009 02-08-2016 10-05-2019 26-07-2013	   23-08-2019
3. Risk Management Committee (if applicable)	Yes	1. Brij Mohan Agarwal 2. Vikas Baheti 3. Shrikant Mantri 4. Subroto Lahiri	Chairman of Committee - Executive Director  CFO Independent Director Independent Director	01-04-2016 01-04-2016 10-05-2019 01-04-2016	   23-08-2019
4. Stakeholders Relationship Committee	Yes	1. C. S. Nopany 2. Brij Mohan Agarwal 3. Shrikant Mantri 4. Subroto Lahiri	Chairman of Committee - Non Executive Chairman  Executive Director Independent Director Independent Director	24-06-2006 01-04-2016 10-05-2019 01-04-2016	   23-08-2019

\$ Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen  
\* As per Listing Regulations, 2015, Risk Management Committee is not applicable to the Company. However, Risk Management Committee was formed by the Company in

Handwritten signature and circular stamp of the Director General of Companies (DGC). The stamp contains the text "DIRECTOR GENERAL OF COMPANIES (DGC)" and "REGISTRATION" around a central emblem.

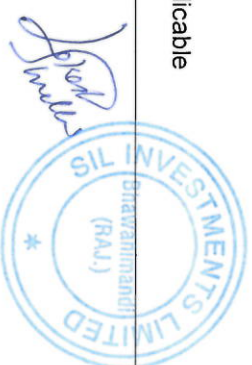
compliance with the applicable RBI Guidelines for NBFC.

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present	Maximum gap between any two consecutive (in number of days)
1) 10.05.2019 2) 25.06.2019	1) 12.08.2019	Yes	6	3	1) 25.06.2019 to 12.08.2019 = 47 Days

### IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)*
<b>Audit Committee :</b> 1) 12.08.2019	Yes	3	3	1) 10.05.2019 2) 25.06.2019	1) 25.06.2019 to 12.08.2019 = 47 Days
<b>Nomination &amp; Remuneration Committee :</b> Meeting not held in the current quarter.	N.A			1) 10.05.2019	Not Applicable





<b><u>Risk Management Committee :</u></b>					
Meeting not held in the current quarter.	N.A			Meeting not held in previous quarter	Not Applicable
<b><u>Stakeholders Relationship Committee :</u></b>					
1) 12.08.2019	Yes	3	1	1) 10.05.2019	1) 10.05.2019 to 12.08.2019 = 93 Days
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional					

<b>V. Related Party Transactions</b>	
<b>Subject</b>	<b>Compliance status (Yes/No/NA) refer note below</b>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes
<b>Note:</b> 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes / No / N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.	



**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. – Yes
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee – Yes
  - b. Nomination & remuneration committee – Yes
  - c. Stakeholders relationship committee - Yes
  - d. Risk management committee (applicable to the top 100 listed entities) – NA#
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. The report submitted in the previous quarter has been placed before Board of Directors.  
Any comments/observations/advice of Board of Directors may be mentioned here: There were no comments received from Board of Directors.  
# As per Listing Regulations, 2015, Risk Management Committee is not applicable to the Company. However, Risk Management Committee was formed by the Company in compliance with the applicable RBI Guidelines for NBFC.

**Name : LOKESH GANDHI****Designation : COMPANY SECRETARY AND COMPLIANCE OFFICER****Date : 07.10.2019****Place : Mumbai**

Note : Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

For and on behalf of  
**SIL INVESTMENTS LIMITED**  
  
**COMPANY SECRETARY**



# ANNEXURE III

**Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year**

<b>Affirmations</b>		
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)</b> <small>refer note below</small>
Copy of the Annual Report including Balance sheet, Profit and Loss Account, Directors report, Corporate Governance report, Business Responsibility report displayed on Website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Yes
Presence of Chairperson of the Stakeholder Relationship Committee at the annual general Meeting	20(3)	Yes*
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

**Note**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

\*Chairman of Stakeholders Relationship Committee of the Company authorized Shri Brij Mohan Agarwal, Member of Stakeholders Relationship Committee of the Company, to attend the AGM held on 22<sup>nd</sup> August, 2019 on his behalf and to represent the Committee (in compliance with the provisions of the Companies Act, 2013 and Listing Regulations, 2015) due to his non-availability on this date.

**Name : LOKESH GANDHI**

**Designation : COMPANY SECRETARY AND COMPLIANCE OFFICER**

**Date: 07.10.2019**

**Place: Mumbai**

